### The Hong Kong University of Science and Technology

Al and Machine Learning in Marine Science

**OCES 4303** 

3 credits

Pre-requisites: OCES 3301 Data Analysis in Ocean Science

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Consultation Hours: times TBC, Rm 5482 (Lift 25/26)

# **Course Description**

Machine learning is increasingly prevalent in ocean sciences, and this course provides a hands-on introduction to some of the machine learning tools in the content of the related ocean science problems, in a progressive fashion in terms of complexity. Topics touched on include principles of regression, clustering, dimensionality reduction, trees, neural nets, and extra topics depending the recent updates in the field. The primary focus of the course will be on *utilising* the tools for ocean science applications, although some foray into the theory behind the tools will also be given.

The course will be "hands-on" in that the lectures are secondary to the computer workshops themselves: the delivery format is weekly sessions of three hours each in a computer barn, where the first 30-45 minutes will be an introduction to the concepts and tools, and the remaining time is for hands-on practice with the provided material that students work through at the own pace. The course material will either be provided in Python or R depending on the instructor; computing languages other than the one provided are not disallowed, but for practicality reasons, there will unlikely be official support from the course instructors on this (e.g., if you end up with syntax errors or bugs), and there is a strict demand on the assignments to be handed in the specified computing language. The course will be assessed via continuous assessment in the form of five quizzes for checking/assessing basic knowledge (every two weeks), three assignments in the form of a short scientific report for assessing practical competency, and interview style assessing mastery of subject; the assessments are primarily graded according to the quality of the scientific report and the reproducibility of the results, and somewhat less on the actual coding itself.

### **Intended Learning Outcomes (ILOs)**

By the end of this course, students should be able to:

- 1. Describe and explain how certain problems in the marine science context can be tackled through machine learning tools.
- 2. Perform calculations using machine learning tools to attack certain problems in marine science.
- 3. Evaluate and quantify the efficacy (e.g. skill) and limitations (e.g. interpretability) of the machine learning tools and its outputs for the relevant problem.
- 4. Illustrate the scientific case supported by the results from the machine learning calculations via a scientific presentations in the appropriate assessment format.

### **Assessment and Grading**

This course will be assessed using criterion-referencing and grades will not be assigned using a curve. Detailed rubrics for each assignment are provided below, outlining the criteria used for evaluation.

#### **Assessments:**

All written assessments are to be sent in as a Jupyter notebook (possibly including the relevant files) and submitted on Canvas. All Jupyter notebooks need to be able to run from scratch in a Colab environment at least; we will maximally spend 10-15 mins on trying to fix bugs, but after that there will be penalisation on the coding and scientific content criteria (see below in grading rubric).

For all written assessments, students are allowed a 1 week grace period with no questions asked (but you need to let us know on or before the assignment due time). Any further requests for extensions will require some proof (e.g. medical note, proof of internet going down such as electrical outage so Canvas submission was not possible). Measure of lateness will be done via the Canvas timestamp, and will be at 1% per minute penalty (i.e. don't bother handing anything in after 100 mins, because you already got zero).

Assessment Task	Contribution to Overall Course grade (%)	Due date
Assignment 1	20%	15 <sup>th</sup> October 2025
Assignment 2	20%	12 <sup>th</sup> November 2025
Assignment 3	20%	10 <sup>th</sup> December 2025
Quizzes	20%	continuous
Interview style exam	20%	During exam period

<sup>\*</sup> Assessment marks for individual assessed tasks will be released within two weeks of the due date.

### **Mapping of Course ILOs to Assessment Tasks**

Assessed Task	Mapped ILOs	Explanation
Assignments 1, 2, 3	ILO1, ILO2, ILO3, ILO4	All handed in reports require students to provide an outline and explanation of the context, perform their own calculations, and evaluate their results in a coherent manner fitting of a scientific report, and in line with expectations for capstone and FYPs. Additionally provides practice with report writing skills; appropriate feedback will be given.
Quizzes	ILO1, ILO2, ILO3	Quizzes provide continuous checks for students to evaluate their progress and understanding, and as a means to maintain engagement and promote class attendance.
Interview style exam	ILO1, ILO3, ILO4	The final oral exam serves as an overall assessment of the students' mastery of the subject beyond technical competence in the execution aspect, in line with criterion-based grading.

# **Grading Rubrics**

Quizzes are out of 10.

Written assessments are marked out of 110%, with the breakdown as scientific content (50%), writing and presentation (incl. grammar, figure quality etc.; 35%), coding and use of Jupyter notebooks functionalities (15%), and extras (going above and beyond the course material and approach; 10%). Most of the marks are given in terms of the scientific content to emphasise the focus, and the extras allows opportunity for further exploration. Any mark above 100% still only counts as 100%.

Interview style exam is out of 20, with questions based on four randomly chosen topics drawn from a box by student on the day, and each topic is marked out of 5. Questions depend on the combination of drawn topics.

For class attendance, 10 of the lectures are core and are the ones assessed with written assignments. Anything else are bonus lectures, which may be drawn for the interview style exam, but questions for those will be kept simple.

# **Final Grade Descriptors:**

See also provided model good and bad hand-ins provided on the course GitHub page.

Grades	Short Description	Elaboration on subject grading description
А	Excellent Performance (>90% in the course)	Shows mastery of knowledge and understanding of the main subject matter, can problem-solve and critically evaluate approach, strong ability in communicating scientific and technical content.
В	Good Performance (75 - 90% in the course)	Shows good knowledge and understanding of the main subject matter, competence in problem-solving and some evaluation of approach, and the ability to communicate scientific and technical content.
С	Satisfactory Performance (60 – 75% in the course)	Shows adequate knowledge and understanding of the main subject matter, some issues with problem-solving, some ability to communicate scientific and technical content.
F	Fail (<60% in the course)	Shows insufficient knowledge and understanding of the main subject matter, struggles with problem-solving, unable to communicate scientific and technical content.

# **Course Al Policy**

Use of AI is allowed and encouraged and by all means use it to help you code, but it is somewhat irrelevant in that most of the content is marked according to the scientific content anyway.

## **Communication and Feedback**

Assessment marks for individual assessed tasks will be communicated via Canvas within two weeks of submission. Feedback on assignments will include a marked up PDF report with marked up comments, and a breakdown of the marks. Students who have further questions about the feedback including marks should consult the instructor within five working days after the feedback is received.

# **Resubmission Policy**

Given the arrangements already for no-questions asked extensions and the use of continuous assessment, no resubmission or alternative assignments will be provided under normal circumstances.

### **Required Texts and Materials**

All Jupyter notebooks should be self-contained; further reading is given in the notebooks accordingly.

# **Academic Integrity**

Students are expected to adhere to the university's academic integrity policy. Students are expected to uphold HKUST's Academic Honor Code and to maintain the highest standards of academic integrity. The University has zero tolerance of academic misconduct. Please refer to <a href="Academic Integrity">Academic Integrity</a> | HKUST - <a href="Academic Registry">Academic Registry</a> for the University's definition of plagiarism and ways to avoid cheating and plagiarism.

### [Optional] Additional Resources

All Jupyter notebooks should be self-contained; further resources are given in the notebooks accordingly.

### **Proposed schedule**

- 1. Introduction to machine learning (un-supervised vs. supervised, data types), refresher in coding language
- 2. Refresher in regression and probability, introduction to scikit-learn, data processing and scaling, robustness, cross-validation, hyper-parameter tuning
- 3. Linear models and dimension reduction reduction
- 4. Clustering
- 5. Classification tasks
- 6. Trees
- 7. Forests
- 8. Introduction to Neural Networks
- 9. Convolutional Neural Networks
- 10. More Neural Networks (e.g. autoencoders, Recurrent Neural Networks, transformers)

Bonus lectures include: AI and ethics, PINNs, GANs, diffusion models, equation discovery, etc...